

N Z F O E B U L L E T I N

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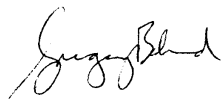
NZFOE Bulletin No: **013/01**
From: **NZFOE Limited**
Date of Issue: **4 October 2001**
To: **All Dealers**

ADDITIONAL EXCHANGE FOR PHYSICAL (EFP) FORM

The purpose of this Bulletin is to advise Dealers of an additional Exchange for Physical (EFP) form. The reason that this EFP form has been introduced is to streamline the registration process with SFE Clearing Corporation on the same day the EFP is executed. Please note that the registration form requires that the details of the clearing Participants be provided before the form is faxed to both the Surveillance department and SFE Clearing Corporation.

The additional NZFOE EFP registration form will coexist with the current form, being Appendix 3 of the NZFOE Business Rules. A copy of the new NZFOE EFP registration form is attached. The additional EFP registration form is effective immediately.

If you encounter any difficulties using this form, or have any questions, please contact the Surveillance Department on +61 2 9256 0589.



GREG BOLAND
GENERAL MANAGER

4 OCTOBER 2001

**NEW ZEALAND FUTURES &
OPTIONS EXCHANGE LIMITED
EXCHANGE FOR PHYSICAL**

Executing Brokers: to register this NZFOE EFP, please fax this completed form to:
 - SURVEILLANCE: +61 2 9256 0468
 - Each of your EFP trade's Clearing Participants
Clearing Participants: after receiving this form from the executing brokers, please complete & fax this form to SFE Clearing Corporation on +61 2 9256 0426
It is the responsibility of the Clearing Participants to sign this form and fax it to SFE Clearing Corporation by 15:00 Sydney time, in order for same day processing to be completed.

In accordance with Rule 15.1, we confirm that the following Exchange for Physicals Transaction has been traded and acknowledge that registration of the Contracts as listed below is subject to approval of New Zealand Futures & Options Exchange Limited and SFE Clearing. We advise that full details of the physicals transacted are recorded in such form as may be required by the Exchange and are available to the Exchange upon request.

Trade Time:		Trade Date:		EFP No:	
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P H Y S I C A L D E T A I L S

Bond Type	Value	Maturity Date	Coupon	Yield	Settlement Date
			%	%	/ /
Other:					

F U T U R E S D E T A I L S

Contract/Month/Year	Volume	Price

A U T H O R I S A T I O N

	BUYER		SELLER	
	Mnemonic	Signature	Mnemonic	Signature
Executing Broker				
Account/Client				
Clearing Participants				

Surveillance Approval _____ **Date & time** _____

SYCOM® NZFOE SFE CLEARING MARKET DATA

A trade will be inserted into the nominated Clearing Participant account. Fees will be charged to the Clearing Participant when the trade is inserted. Commission between the Trading Permit Holder and their Client will need to be advised to SFE Clearing via a Request for Commission Adjustment.