



Screen Dealing Bulletin No: 09/04

From: SFE Corporation Limited ABN 74 000 299 392

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Effective Date: 19<sup>th</sup> April 2004

## NEW PROCESS FOR THE REGISTRATION OF BLOCK AND STRIP TRADES.

SFEIN is a secure Internet based registration and information platform which currently facilitates the registration of all EFP's (Exchange for Physicals) for SFE approval and Bond and Repo Clearing reporting.

Effective 19<sup>th</sup> April 2004, SFEIN (SFE Information Network) functionality will be broadened substantially to allow for the electronic registration of Block Trades and Strip Trades. The introduction of this new functionality will mean that the current fax based registration facility will be replaced by the electronic registration facility on SFEIN.

From the 19<sup>th</sup> April 2004, all Block and Strip Trades are to be registered with SFE via SFEIN. To facilitate a smooth transition the existing fax based registration facility will run in parallel with the SFEIN registration facility for one week as a backup to any issues that may arise with the utilisation of the new SFEIN functionality. From the 26<sup>th</sup> April, the fax based registration facility will be withdrawn.

Note all Block and Strip Trades involving NZ products are to continue to be registered with SFE via fax. Also, the new functionality on SFEIN has no impact on the existing BRC or EFP functionality or processes.

In the event of a SYCOM® emergency or disaster recovery situation, SFEIN will also be able to accommodate the electronic registration of Telephone Trades. However, the activation of this functionality will continue to be at the discretion of SFE.

The extension of SFEIN provides a number of benefits to Participants, including;

- **Improved safety and security:** non-SYCOM trades will now be registered through a secure web site with password protection. There will be no risk of loss of paperwork that may occur when faxes are being used.
- **Faster entry into SECUR:** In most cases, within an hour of entry of a trade into SFEIN the trade details will be held within SECUR.
- **Improved audit trail:** Trade registration status will now be visible to Participants at all stages.
- **Straight through processing** capability to client accounts.

Please note that these changes only apply to the registration facility for Block and Strip trade types. There will be no change to the actual execution method of EFP, Block and Strip Trades.

Should you have any queries please contact Business Operations Helpdesk on 9256-0677 or [sycom@sfe.com.au](mailto:sycom@sfe.com.au)

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