



## Austraclear Notice No. 128/07

Date of Issue: 26 September, 2007  
Effective Date: 29 October, 2007

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### Austraclear System Release 2

As a result of feedback from previous market consultations and following the implementation of the Austraclear System in 2006, ASX committed to delivering further enhancements to the System. The Austraclear System Release 2 is targeted for implementation to the market on 29<sup>th</sup> October, 2007.

The purpose of this bulletin is to notify Participants of the date for Austraclear System Release 2 and communicate the enhancements which will be delivered as part of this release. All users of the Austraclear System will be required to upgrade to the latest version (failure to upgrade will prevent Participants from accessing the Austraclear System); details of the implementation approach are also outlined in this bulletin.

The main focus of this release is to deliver various enhancements to Austraclear System users as well as resolving low level faults.

The following enhancements will be implemented with this Austraclear System release (please see Appendix A for further descriptions);

- Include Transaction Field Type in Settlement Instruction Screen
- Auto generation of DSS Series
- Display information on trade entry screen for counterparty (e.g. Trade ref)
- FX Trade Rejection Comments to be displayed to counterparty
- Display Issuer name in series properties information
- Bulk Authorisation of Corporate Actions
- Improve Pledges search criteria
- ISIN Display for Pledges
- Default Trade Query Search to use participant code rather than wildcard

Further information on the contents of Austraclear System Release 2 can be found at the following location:  
<http://www.sfe.com.au/homepage/index.htm>

### Proposed Key Dates

- Austraclear System Release 2 – Go-Live: 29 October, 2007

## Implementation Approach

As a result of the changes, a new version of the Austraclear System GUI will be made available to the Participants prior to and during go live.

New Application versions:

- Austraclear System – version I48\_v85-25
- Common Gateway/ HTHL GUI – I48.3

## File Deployment

For the Participants who package the application, the Austraclear System File Deployment version will be available for download by 25<sup>th</sup> September, 2007. You can retrieve the MSI file and installation manuals from [www.sfe.com.au/content/austraclear/operations/exigo/technical.htm](http://www.sfe.com.au/content/austraclear/operations/exigo/technical.htm). Participants who package the application can install the new File Deployment version, from the date it becomes available. However, we suggest not to remove the current File Deployment version (I47\_v) in your machine, until a successful log on to the new version during go-live.

A password will be required to gain access to the MSI file and this can be obtained by contacting the Austraclear Service Desk.

Please review the following documents for further details:

1. Software Installation Guide - File Deployment
2. Technical FAQ – i48

[www.sfe.com.au/content/austraclear/operations/exigo/technical.htm](http://www.sfe.com.au/content/austraclear/operations/exigo/technical.htm)

## Browser Deployment

The new Austraclear System Browser Deployment version will be available for download only from 28 October 2007. We recommend to all Participants using this deployment model, that only their essential Austraclear System users download the new version on the date specified. This will avoid network traffic or download issues during the Monday go-live, where all of the Participants using browser deployment are downloading the new version of the Austraclear System and logging into the application.

We also recommend to the participants using this deployment method, to have at least a backup of the current version (I47\_v) before attempting to download the new version.

Please review the following documents for further details:

1. Software Installation Guide – Browser Deployment
2. Technical FAQ – i48

[www.sfe.com.au/content/austraclear/operations/exigo/technical.htm](http://www.sfe.com.au/content/austraclear/operations/exigo/technical.htm)

If you have any queries regarding this Bulletin, please contact the Austraclear Service Desk on 1300 362 257 or [service.desk@asx.com.au](mailto:service.desk@asx.com.au).



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## Appendix A:

Further details of Enhancements included in Release 2;

- **Include Transaction Field Type in Settlement Instruction Screen**  
A new field has been added to the Settlement Instruction window called transaction type. This field will allow Participants to better distinguish the transactions in the Settlement Instruction window. e.g. market repo first, second leg, cash trade, swap etc. The filter for this new field is available in the advanced search filters.
- **Auto generation of DSS Series**  
The discount securities series code is now automatically generated by the Austraclear System.
- **Display information on trade entry screen for counterparty (e.g. Trade ref)**  
Data entered into the Information field in the Trade Entry windows can now be seen by the Counterparty.  
NOTE: Once the trade is paired or matched the Participant will need to view the Counterparty trade report to see the information entered by the Counterparty. e.g. select trade report, right click and select Counterparty trade report.
- **FX Trade Rejection Comments to be displayed to counterparty**  
The comments entered about the rejection can now be seen by the Counterparty. See also note above.
- **Display Issuer name in series properties information**  
The full name of the Issuer can now be seen in the series properties.
- **Bulk Authorisation of Corporate Actions**  
Multiple Corporate Actions can be instructed in one action, instead of entering these separately.
- **Improve Pledges search criteria**  
Enhancements have been made to the pledge window including the addition of an action day filter which will return any active pledge that was actioned on the selected date.
- **ISIN Display for Pledges**  
ISIN is now displayed in the pledge entry window.
- **Default Trade Query Search to use participant code rather than wildcard**  
Participant code defaults in the reported trade window. In the Sub-Participant filter it will now default to the Participants Mnemonic, however this can be changed.