



Austraclear Notice No. 129/09

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CHANGES TO ASX EXTERNAL TECHNICAL ENVIRONMENTS

ASX advises the following changes to the provision of access to ASX External Technical Environments.

Fees and charges:

From 1 October 2009 access to each of the ASX External Technical Environments will incur a fixed fee of **\$800 per calendar month** and the variable charges set out below – all stated fees and charges exclude GST:

| Business | ASX Technical Environment | Fixed Monthly Charges | Variable Monthly Charges |
|--------------------------|---------------------------|---|--|
| ASX – Trading | ITS PTE and ITS FTE | <ul style="list-style-type: none"> \$800 | <ul style="list-style-type: none"> \$400 per additional 50 user log-ins \$400 per Gateway for PTE |
| SFE – Trading | SYCOM | <ul style="list-style-type: none"> \$800 | <ul style="list-style-type: none"> \$400 per additional AOEI |
| ACH – Clearing | DCS | <ul style="list-style-type: none"> \$800 | <ul style="list-style-type: none"> \$400 per additional server Hourly Non-routine Technical Support charge as per Schedule of Fees – Clearing and Settlement (Section 3.3) |
| SFECC – Clearing | SECUR | <ul style="list-style-type: none"> \$800 | <ul style="list-style-type: none"> \$400 per additional RNG |
| ASTC – Settlement | CHESS | <ul style="list-style-type: none"> \$800 | <ul style="list-style-type: none"> \$100 per additional test kit |
| Austraclear – Settlement | SFETA (Austraclear) | <ul style="list-style-type: none"> \$800 | <ul style="list-style-type: none"> Not applicable |

All existing rebates will cease on 1 October 2009. This specifically includes the SYCOM Development Standard Access Rebate (Billing Item 05100008).

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Casual access to ASX External Technical Environment(s) will not be supported. Any organisation requesting access to an ASX External Technical Environment must have an Access Agreement

Each separate organisation accessing an ASX External Technical Environment(s) must complete an individual Access Agreement. The holder of the Access Agreement (Subscriber) will be the billable entity.

Existing developers and Participants who have an existing infrastructure billing relationship with ASX will have the fee(s) and any applicable charges added as new billable items that will appear on future invoices.

Access provided by ASX as part of Fixed Monthly Charge in relation to each External Technical Environment:

| Business | ASX External Technical Environment | Access |
|--------------------------|------------------------------------|--|
| ASX – Trading | ITS PTE and ITS FTE | <ul style="list-style-type: none"> • Connection to External Technical Environments (PTE and FTE) – including up to 100 user logons • All FTE Gateways maintained and provided by ASX at ASX premises • Network connectivity (Carrier VPN or iVPN) is the responsibility of the Subscriber • Not more than 2 individual trading participants (PID's) per subscriber – exemptions will occur as necessary for Volume Match Book (VMB) testing requirements |
| SFE – Trading | SYCOM | <ul style="list-style-type: none"> • Connection to External Technical environment – including access to 2 AOEI's • All AOEI's provided and maintained by ASX at ASX premises • Network connectivity (Carrier VPN or iVPN) is the responsibility of the Subscriber • Not more than 2 individual Firm ID's per subscriber |
| ACH – Clearing | DCS | <ul style="list-style-type: none"> • Connection to External Technical Environment – one server per entity is allowed • DCA / MCM server provided by the Subscriber • Network connectivity (Carrier VPN or IVPN) is the responsibility of the Subscriber |
| SFECC – Clearing | SECUR | <ul style="list-style-type: none"> • Connection to External Technical Environment – including 1 Remote Network Gateway (RNG) • RNG provided, maintained and installed by ASX at the Subscriber premises • Network connectivity and infrastructure the responsibility of the Subscriber |
| ASTC – Settlement | CHES | <ul style="list-style-type: none"> • Connection to External Technical Environment • 1 Test kit • Network connectivity (Carrier VPN or iVPN) the responsibility of the Subscriber |
| Austraclear – Settlement | SFETA (Austraclear) | <ul style="list-style-type: none"> • Connection to External Technical Environment • Network connectivity and infrastructure the responsibility of the Subscriber if not via the subscribers ANNI connection • Processing of SWIFT and HTHL messages included • Will not be charged when the ASX has required sole access to this shared environment for greater than 5 business days within that month |

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Development Assistance:

In addition to the access described above ASX will provide the following:

- Continued availability of each environment
- General environment support
- Required API and Vendor software where appropriate
- Relevant support manuals and documentation
- Incident assistance
- Application Qualification where appropriate

The above technical support will be provided between 08:00 to 18:00 Sydney time (CHESS, DCS, SFETA operational support is 09:00 to 17:00). The External Technical Environment(s) will continue to be accessible as per current availability.

Application Process and new Access Agreement:

ASX aims to distribute a single Application Form and a new standard Subscriber Access Agreement (which will set out the terms and conditions for the provision of access to the External Technical Environments) by mid August 2009. This new agreement will consolidate, replace and supersede all agreements which are currently in place in relation to access to the different External Technical Environments.

Existing developers and Participants must execute and return the Application Form and the new Access Agreement to Market Access by 21 September 2009 to ensure uninterrupted access.

New developers and Participants initiating access may also download the Application Form and the new Access Agreement from <https://www.asxonline.com/>, complete, and return them to Market Access.

Access to each External Technical Environment will be restricted to those entities that have completed an Application Form and executed the new Access Agreement on or before 1 October 2009.

Market Access Support:

All completed Application Forms and Access Agreements should be returned to Market Access on the contact details below.

For details on general External Technical Environment(s) connectivity requirements see the ASX VPN Service Guide - <https://www.asxonline.com/marketinfo/product.shtm> (Delivery and Support).

Should you have any queries regarding this notice, please contact the Market Access Support team either via email on MarketAccess@asx.com.au or phone 1800 663 053 (or on +612 9227 0372 from outside Australia).



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