



SFE NOTICE NO.

183/09

Date of Issue: 28 October 2009
Effective Date: 2 November 2009

ADDITION TO: OPERATING RULE 3.3 – PRE-NEGOTIATED BUSINESS ORDERS; AND OPERATING RULE 3.4 – TRADING PRINCIPLES FOR BLOCK TRADES

The Exchange wishes to inform all Participants of the following Rule Additions:

Operating Rule 3.3.9 shall include the following addition:

- (b) For the purposes of trading out of a trade allocated to a Participant that is an Error Trade, the Participant's futures division is classified as a Client.

Operating Rule 3.4.10 shall include the following addition:

- (b) For the purposes of trading out of a trade allocated to a Participant that is an Error Trade, the Participant's futures division is classified as a Client.

The purpose of these Rule additions is to allow Participants who have a genuine error in executing a Pre-negotiated order or a Block Trade, which have been allocated to the Participant's Futures Division error account, to be allowed to trade out of the error by way of a Pre-Negotiated Business Order or a Block Trade.

Where a Participants' Futures Division trades out of errors in accordance with Operating Rule 3.3.9(b) and 3.4.10(b), it must ensure that it follows Operating Rules 3.1.8 – Orders to be Transmitted and Executed in the Sequence Received, and 3.1.16 – Acting in Accordance with Client Instructions and Client's Best Interests, in that its client's orders must take precedence over the orders of the Participant and that its client's best interests must be considered at all times.

These Rule additions will be effective on 2 November 2009.

Should you have any queries please contact Robert Coaldrake, General Manager Futures and Capital Monitoring on (02) 9227 0223 or Robert.Coaldrake@asx.com.au or Janice Coles, Senior Futures Supervision Officer on (02) 9227 0224 or Janice.Coles@asx.com.au.

ASX Limited
20 Bridge Street
Sydney NSW 2000
Australia