



## SFE NOTICE NO.

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## SFE OPERATIONAL POLICIES – VERSION 5.0

This Notice provides details of the latest version of the SFE Operational Policies (Version 5.0). The changes from the previous version (4.3) are listed below and will be effective from 18 November 2009:

### SFE Error Resolution Policy

- Appendix 1 – ERP ranges for Commodity and Energy Contracts has been replaced by Appendix 1.4 and 1.5.
- Appendix 1.4 – ERP ranges for Commodity Future Products has been created.
- Appendix 1.5 – ERP ranges for Energy Futures Products has been created.
- Appendix 1.6 – ERP ranges for Environmental Futures products has been created.
- Appendix 2 – ERP ranges for option contracts have been updated to include Environmental Option products.

### Expiry Price Determination in Extenuating Circumstances Policy

- Section 4 – “Individual Share Futures and Stock Options (Deliverable)”. This section has been removed.
- Section 5 – “Individual Share Futures (Cash Settled)”. This section has been removed.

### Interest Rate and Equity Market Policy

- Section 14 – “US Dollar denominated Single Session Options”. This section has been removed.

### Energy Market Policy

- Section 5 – “Participant Registration of Strip Trade Leg Prices”. This section has been updated to reflect the new process relating to the registration of energy strip trades.

### Environmental Market Policy

- Creation of a new policy for the operational management of the Environmental Products.

The latest version of the SFE Operational Policies (version 5.0) is located on the ASX website:

[http://www.asx.com.au/supervision/pdf/sfe\\_operating\\_rules/sfe\\_operational\\_policies.pdf](http://www.asx.com.au/supervision/pdf/sfe_operating_rules/sfe_operational_policies.pdf)

Should you have any queries please contact SFE Service Desk on +61 2 9256 0677 or [service.desk@asx.com.au](mailto:service.desk@asx.com.au).

A handwritten signature in black ink that reads 'David Raper'.

**David Raper**  
General Manager – Trading Operations & Markets  
ASX Limited  
20 Bridge Street  
Sydney NSW 2000  
Australia

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